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File No. 1-0026

AIRCRAFT ACCIDENT REPORT

MOHAWK AIRLINES, INC.
FAIRCHILD HILLER FH-227B, N7811M
NEAR GLENS FALLS, NEW YORK
NOVEMBER 19, 1969

Adopted: June 25, 1970



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RATIONAL TRANSPORTATION SAFETY BOARD Bureau of Aviation Safety Washington, B. C. 20591

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MATIONAL TRANSPORTATION SAFETY BOARD DEPARTMENT OF TRANSPORTATION AIRCRAFT ACCIDENT REPORT

Adopted: June 25, 1970

MCHAWK AIRLINES, INC.
FAIRCHILD-HILLER FH-227B, N7811M,
NEAR GLENS FALLS, NEW YORK
NOVEMBER 19, 1969

SYMOPSIS

en route to Glens Falls from Albany, New York, crashed about 2020 e.s.t. in mountainous terrain approximately 9 nautical miles north of the Warren County Airport, Glens Falls, New York.

The flight had been cleared for a VOR approach to Runway, 19, since the surface wind was from 150° at 12 knots with gusts to 20 knots. The ceiling at Glens Falls was given as 2,100 feet overcast, and the visibility was 7 miles in light rain.

The flight overflew Glens Falls at 3,000 feet and proceeded north for aboute 1 minute and 15 seconds at which time a left turn was initiated prepatory to return to the airport to land on Runway 19. During the last portion of this turn, the aircraft contacted trees on the northwest slope of Pilot Knob Mountain on a heading of about 180°, approximately 1 nautical mile east of Kattskill Bay, New York. It then impacted the face of a rock cliff, after which it dropped approximately 38 feet, became lodged between trees and the side of the mountain, and burned.

The elevation of the initial impact with the rock face of Pilot Knob Mountain was approximately 1,960 feet. The three crewmembers and 11 passengers received fatal injuries, and the aircraft was destroyed.

The Board determines that the probable cause of this accident was that the captain, while conducting an approach, exceeded his clearance limits and, thereafter flew the aircraft into a severe "lee of the mountain downdraft" at an altitude insufficient for recovery. No evidence was found to explain why this particular approach was attempted.

1. INVESTIGATION

1.1 History of the Elight

Mohawk Airlines Flight 411 (MO-411), a Fairchild-Hiller FR-2278, N7811M, departed Albany, New York, on an Instrument Flight Rules (IFR) flight plan for Warren County Airport, Clens Falls, New York, about 2003 1/on November 19, 1569. Plight 411 was a scheduled passenger/cargo night.

At 2006:24, Albany Departure Control gave MD-411 the following weather report: "And Modew's four eleven, the Glens Falls weather measured ceiling two thousand one hundred (feet) overcast, visibility seven, light rain, wind one eight zero at one two, peak gusts two two, and altimeter two nine eight zero, Rurray one nine in use."

At 2007:16, the Glens Falls temperature of 54° was relayed to and acknowledged by MO-411.

At 2007:32, Departure Control issued the following clearance to the flight: "Mohawk four eleven, you are cleared to the for a VOR approach at the Warren County Airport." MO-411 acknowledged.

At 2010:48, MD-411 reported at Miller Intersection (see Appendix C) as follows: "Albany, ah Mohawk Four Eleven's at Miller going to Glens Falls Radio." The Flight Service Station (FSS) agent at Warren County Airport stated the flight then contacted him requesting the weather. He stated he gave the current weather information and informed the flight that the landing runway was 19.

There are two VOR approaches to the Warren County Airport at Glens Falls, New York. They are the VOR-1 and the VOR DME Runway 19 (see Appendix C). Distance measuring equipment (DME) is required on an aircraft in order to use the VOR DME Runway 19 approach. Company resulations prohibit the use of DME as a primary psyigational instrument. This means that if the aircraft DME is to be URREL, it must be in conjunction with a fan marker, a radar fix, etc.

The VOR-1 approach applies to any runway. It can be initiated either at Miller Intersection or over the Glens Falls YORTAC. According to the Jeppesen Approach Charts, used by Mohawk Airlines, an immediate descent can be initiated at the Miller Intersection to descend to 1,800 feet mean sea level. 2/

^{1/} All times in this report are eastern standard times expressed in the 24-hour clock.

^{2/} All altitudes will be expressed in feet above mean sea level (m.s.l.) unless otherwise indicated.

Upon reaching the Glens Falls fan marker (5.1 nautical rile (RM) from the YORTAC), a straight in approach can be made if the landing is to be made on Runway 1. When the landing runway is 19 and visual conditions are attained, the YOR approach can be discontinued and a visual approach made to Runway 19.

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The pilot may elect to proceed to the Glens Falls VORTAC at 'en route altitude rather than initiate the descent from Miller Intersection. When he so elects, he makes an immediate right turn over the VORTAC to intercept the 186° radial of the Glens Falls VCRTAC outbound. Descent can be made to 2,500 feet as long as the procedure turn is completed within 25 kM of the VORTAC. Descent can then be continued to cross the Glens Falls fan marker at or above 1,100 feet, after which the same procedure as given for the VOR-1 approach can be followed.

MO-411 did not descend it Miller Intersection. The flight data recorder shows that MO-411 continued on to Glens Falls VORTAC at an altutude of 3,000 feet and on a 006° 3/ heading. Over the airport, the aircraft make a slight right followed by a blight, left turn. During the left turn, the airspeed reduced from 164 knots to 152 knots. The aircraft then returned to and continued on the 006° heading for approximately 1 minute and 15 seconds (7 MM DME), at which time a reversal in course was initiated.

Witnessess stated that the flight passed over the Warren County Airport on a northerly heading about 2015. Shortly thereafter, other witnesses saw the aircraft over Lake George, between Dismond paint and Bolton, and in the vicinity of Pilot Knob, New York (see Appendix D) witnesses also said the tope of the mountains were discernible. The mountaintops were 2,180 to 2,350 feet m.s.l. in this area. One witness who saw the impact flash said. "...there' seemed to be thin clouds between the firsteall and III. The witnessed also stated there was light rain at the time with moderate winds between Bolton and Diamond paint and heavy rain with strong winds at Pilot Knob and Kattarill Bey-

About 2020, the aircraft struck the Cliff & DIC Impact nu, about 200 feet below the top, on the northwest slope of Pilot Knob Mountain, approximately 1 NM east of Kattskill Bay, New York. The ll passengers and three crewmembers received fatal injuries and the aircraft was destroyed by impact and the ensuing fire.

point of impact was at latitude 43°29'30"N., longitude 73°36'39"W., at an elevation of 1,960 feet. The accident site nu, located on the 016° radial or the Glens Falls YORTAC at a measured distance of 9 NM from the station.

All indications of aircraft heading are in degrees magnetic.

First impact was with evergreen trees then, approximately 20 feet farther along the flightpath, the sircraft impacted the near-vertical face of Pilot Knob Mountain. Demage to the evergreens indicated the track of the aircraft was 176. This damage also indicated the aircraft had e 12° right-wing-down attitude and was descending et a 12° angle at impact.

1.2 Injuries to Persons

| Injuries | Crew | <u>Passeugers</u> | Other |
|----------|------|-------------------|-------|
| Fetal | 3 | 12 | 0 |
| Nonfatal | 0 | 0 | 0 |
| None | 0 | 0 | |

13 Damage to Aircraft

The aircraft vas destroyed by impat and the ensuing fire.

1.4 Other Damage

The only other damage caused by impact and fire was &ne to several large trees.

1.5 Crew Information

All crewmembers were properly certificated and qualified for their positions. (For details see Appendix B)

1.6 Aircraft Information

The aircraft maintenance records reviewed (the last log sheet available was %0.54-247 which indicated e total aircraft time of 7553:36 hours) showed the aircraft was maintained in accordance with FAA and, company procedures.

During our normal records check, it was found that some navigation instrument problems began to appear in the aircraft log book on September 10, 1969. Further investigation, however, revealed that these problems did not constitute a trend in navigation instrument problems.

The captain who flew the aircraft immediately prior to Captain Hourinan stated, in part, as follows: "...Captain Kertz indicated to me that the aircraft was et the time of crew change without any mechanical discrepancy. The log book of Aircraft N7811M contained no writeups et the time and none were added by TTE

The weight and center of gravity were within the prescribed limits for landing.

1.7 Meteorological Information

Synoptic situation

The 1900 surface weather chart showed a strong cold front oriented north-south scross eastern New York, west of Glens Falls.

Surface Weather Observations

- Glens Falls is located 9 NM south of the accident site).
 - 1956 Measured 2,100-foot overcast, visibility 7 miles, light rain, temperature 53 F.) dew pint 48 F., wind 150° at 12 knots, gusts 20 knots, altimeter setting 29.80 inches. Rain began at 1819.

Burlington (Burlington is about 60 NM north of the accident site).

- 2000 Measured 4,000 feet broken, 7,000 feet overcast, visibility 7 miles, light rain showers, temperature 49° F., dew pint 44° F, wind 140° 15 knots, gusts 22 knots, altimeter setting 29.76 inches, rain began at 1902.
- 2100 Measured 3,600 feet overcast, visibility 7 miles, light 12111, temperature 50° F., dew point 140° F., wind 150° 17 knots, gusts 24 knots, altimeter setting 29.74 inches.

The 1843 winds aloft observation at Albany, 48 NM south of the accident site, was in part as follows:

| Heights (1,000 ft. m.s.l.) | Direction (Degree true) | Velocity (<u>Knots</u>) | | |
|----------------------------|-------------------------|------------------------------|--|--|
| Surface | 160° | 20 | | |
| 1 | 760° | 28 | | |
| 2 | 155° | hф | | |
| 3 | 160° | 41 | | |
| 4 | 170° | 51. | | |

Weather Bureau Forecasts

The aviation terminal forecasts issued by the Forecast Office at New York at 1745, valid 1800 to 0600, were in part as follows for Glens Falls and Albany.

Glens Falls

1800-2100, ceiling 2,500 feet broken, 5,000 feet overcast, wind 190° 15 knots and gusty.

Albany

1800-2100, ceiling 2,000 reet broken, 5,000 feet overcast, wind 140° 12 kmots.

Winds Aloft

The winds aloft forecast issued by the National Meteorological Center at 1245, valid at 1900, for use from 1300 to 2200, was in part as follows:

Albany

3.000 feet m.s.l. --170° 32 knots.

Plattsburg (Plattsburg is about 70 NM north of the accident site).

3,000 feet m.s.l. --170° 33 kmots.

east of Connecticut Valley and mostly moderate with a clar 8 000 feet severe turbulence below 12,000 feet west of Connecticut Valley, especially within 100 miles of the front and across higher ridges.

The Albany 1843 radiosonde ascent showed the freezing level was near 9,900 feet m.s.l.

It was a dark, windy, rainy night according to observers on the them they looked east from Lake George.

The total rainfall at Glens Falls for the 24-hour period from 0000 to 2400 on November 19, 1969, was 0.27 inches.

The captain who flew N7811M immediately prior to Captain Hourinan stated in part an follows concerning the weather: "After completion Flight 402, I entered our operation office at Laguardia and spoke with Captain Hourinan, who was, at that time (1800 hours), signing his flight plen and working over weather sequences. Captain Hourinan and I discussed briefly, three points: (1) condition of aircraft - good no writeups, (2) altitude between points - smooth between layers for me.

(3) winds (Surface) at destination and departure - strong but acceptable for MC.

1.8 Aids to Navigation

The aids to navigation at Warren County Airport were a VORTAC (VOR with DME), a rotating beacon, REIL (Runway End Identification Lights) on the approach end of Runway 01, and 'high-intensity runway lights (on maximum brightness). The runway lights at the time of this approach were set on maximum brightness. All of the aids were in operation at the time of the accident, and there were no problems indicated by the monitors.

The FAA conducted a flight check of part of the navigation aids within a few hours following the accident, and the remainder on the following day. No discrepancies were found. Subsequent ground maintenance checks revealed no equipment difficultie.

1.9 Communications

Communications made to and by MO-411 were recorded from prior to takeoff through arrival at Miller Intersection. Significant parts of these transmissions are:

- 0058:21 411 Albany; Mohark Four Eleven taxi
- 0058:24 CC Mohawk Four Eleven taxi Runway One Nine, wind one eighth zero degrees at one two gusts to two five
- olol:∞ GC Monark Four Eleven is cleared Glens Falls von via the Albany zero three nine radial, Glens Falls one eight:

 six radial, maintain three thousand, after departure be a left turn heeding zero one zero vectors

| <u> </u> | Lecender | Mohewk Flight 411 | 11 |
|----------|----------|--|----|
| _ | negenge. | | |
| | | Albany Tower Ground Control | |
| | • | Albany Tower Local Control | Ç |
| | • | Albany Tower Departure Control (Radar)Di | Ŗ |

- Olol:18 411 Pour Eleven cleared to ah Glens Falls vor via the Glens Falls zero three nine Glens Falls one eighty six to maintain three thousand, a left turn zero one zeso for vectors, squawk one thousand, departure one twenty-six six
- 0101:46 411 Albany Four Eleven is reedy for takeoff
- 0101:57 LC Monawk Four Eleven cleared for takeoff
- Olo6:24 DR Mchawk Four Eleven, the Glens Falls weather measured ceiling two thousand one hundred overcast, visibility seven light rain, wind one eight zero at one two peak gusts two two, and altimeter two nine eight zero, Runway One Nine in use
- 0106:40 411 Four Eleven, thanks
- 0106:49 411 Albany an Four Eleven, do you have the temperature up there please
- 0107:16 DR Glens Falls temperature, five four
- 0107:19 411 Thank you
- 0107:32 DR Mohawk Four Eleven, you're cleared to the-for a VOI? approach at the Warren County Airport
- 0107:38 411 Cleared approach, thank you Four Eleven
- 0110:48 411 Albany Monark Four Eleven's at Miller, going to Glens Falls Radio
- 0110:52 DR Roger, Mohawk Four Eleven, advise Glens Falls to, of your down time or your ah cancellation and radar service terminated
- 0111:01 ,411 Roger thank you

An "In Range" call 'is usually made by each flight when it is about 5 minutes from touchdown. Flight 411 made no "In Range.' call.

1.10 Aerodome and Ground Facilities

Not applicable.

1.11 Flight Recorders

The flight data recorder (FDR) and the cockpit voice recorder (CVR) vere found loose but on proper station in the aft section of the pressurized

compartment. Both had been exposed to high heat after impact. The CVR tape was melted to the extent that it was unreadable. The FDR was a Model F-542, Serial No. 1616, manufactured by United Control 'Data Division. The readout of the flight data recorder is attached as Appendix E.

1.12 Wreckage

Impact and 'the ensuing fire destroyed most of the aircraft so that much of the structure could not be identified positively. However, those parts which were outside the ground fire area showed no evidence of in-flight fire.

Most of the wreckage of N7811M was distributed along and quite close to the base of the rock cliff which the aircraft impacted. The site was located 9 NM from the Glens Falls VORTAC on the 016° radial. The rear fuselage and the tail section were located approximately 70 feet west of the initial impact point. The right engine and propeller, the major components located farthest along the general line. of flight, were approximately 100 feet south-southwest of the impact The left wingtip was recovered on top of the cliff near the eastern edge of the cut in the trees. Sogine parts and parts from the forward fuselage were fourd wedged into the cliff or lying on ledges along the face of it. The pilot's control column and many of the cokcpit instrument remains were also found on these ledges. No aircraft parts were found outside of the impact area described above. All fractures observed were typical of those caused by overload. The preimpact integrity of the control system could not be determined because of the extensive impact and fire damage.

Examination of the wing flap and landing gear components revealed the flaps were extended to a setting of 162, and the landing gear was extended at the time of impact.

The cockpit area disintegrated during impact, thus precluding accomplishment of any comprehensive cockpit documentation. Although the cockpit area was disintegrated, various cockpit components were thrown free at impact and were recovered from the ledges and from the bottom of the cliff labor the initial impact point. Significant data was obtained from these components.

The instrument panel, which is of one-piece construction, was recovered in six sections from scattered locations. The copilot's side of this instrument panel had been exposed to the ground fire. Sections of this panel contained the flap indicator and copilot's static selector switches. The remains of various other switches, light sockets, and the dual water-methem1 quantity indicator were also attached. However, the condition of these panels prevented the obtaining of any usable information.

The DME was tuned to the assigned channel (Channel 39) for the Warren County Airport at Glens Falls and indicated 9 miles to the station on the DME unit located in the radio rack.

Both the No. 1 and No. 2 VHF transceiver units were recovered. Both were damaged by impact and fire. No. 1 had a setting between 123.6 and 123.65 MHz. "he Glens Falls Flight Service Station frequency was 123.6 MHz. No. 2 had a setting of 130.0 MHz which corresponds to the Mohark Airlines receiver frequency at Glens Falls.

The pedestal where the frequency selector panels for the transcriver units are normally mounted was not recovered. One selector penel was recovered but the internal mechanism was broken, allowing the selector drums to rotate freely, ami thus preventing the obtaining of valid preimpect settings.

The **left** engine revolutions per minute (r.p.m.) indicator was recovered. The face glass was missing but the pointers and face, though distorted, were attached. This indicator displayed a 12,280 r.p.m. reading and the pointers were not free to move. The right engine r.p.m. indicator was not recovered.

The right propeller pitch control piston was 3-3/4 inches from the front face of the cylinder with is the setting for a blade angle of 23° 50°. The blade angle for flight fine is 10° and for cruise is 28°.

Rotation of both engines at **impact was** established by turbine blade bends and rotational rubbing of the blades on stationary parts. Further, it was established that the No. I turbine shaft had failed in torsion.

There was ∞ indication of overheat on the turbine assembly of either engine.

The elongated filament of the vertical fin rotating beacon indicated that it was operating at impact.

other instruments found and their indications:

| 1. Captain's Hight Director Indicator | 10° posedown |
|---|--|
| 2 Captain's course Deviation Indicator Course selector Heading marker Compass card course arrow | 10° nosedown *Between 197° and 208° 352° 190° 240° and reciprocal at 025° |

3. First officer's Course Deviation Indicator
Course selector

*Between 198° and 199°

• More accurate readings were not possible because the needles were bent.

Heading marker Compass card Course arrow Oll and could not be moved

188° 196°

- 4. Turn and bank indicator both no valid information.
- 5. Directional Gyros (2) All exhibited rotational scoring. Vertical Gyro (1)
- 6. Altimeters two unrelated parts, one of which indicated a setting of 29.70
- 7. Pitot head Full of tree bark

1.13 Fire

According to witnesses, the fire that ensued after impact burned fiercely for approximately 40 minutes and then smoldered for an undetermined time thereafter.

There were two distinct areas of fire. One area extended from the point of impact with the rock face over the top of the cliff for approximately 40 feet along the general direction of flight. The other area was concentrated along the base of the cliff in the vicinity of the right engine, right and center section wing remains, and the aft fuselage. Large portions of structure and instruments in this area were entirely consumed or were reduced to solidified molten slag.

1.14 Survival Aspects

This was a nonsurvivable accident.

1.15 Tests and Research

Several test and/or research activities were conducted as follows:

- Both VOR units and parts of both Radio Magnetic Direction Indicators were recovered. They were taken to their respective manufacturers for disassembly and inspection, but no useful information was obtained.
- 2. The Board's staff analyzed the FDN information to construct a groundpath for Flight 411. This is attached as Appendix D and F. Their calculations encompassed the entire flight from Albany. They concluded that MD-411 encountered an average wind of 58 knots from 173° magnetic at the cruise altitude.

The Board asked'the aircraft division of Fairchild-Hiller to analyze the last 2 minutes of the flight recorder data concerning this accident. Their analysis concluded that MD-411 encountered an average vind of 61 knots from 181° between Miller Intersection and impact. They also stated:
"In the time period from 16:40 to 17:05 (time from liftoff a Alb the aircraft's speed was stabilized on the time period flaps approach'speed of 110 hots calibrated according to the flight veight of 39,500 pounds. Subsequent to 17:05, indicated airspeed falls below the scheduled approach speed indicating a reduction in headwind was experienced as the airplane entered the vake of Pilot Knob,." (See Appendix

The difference in calculated winds in the two reports stems from the fact that the Fairchild report considers only the time between Killer Intersection and the assistant site, whereas the Bureau Report considers the entirection impact.

3. On January 13, 1970, a Mohawk FH-227 flightcrew 'participated in an experiment utilizing their simulator located at Utica, New York, and using wind directions and velocities obtained by the Fairchild-Hiller analysis of MO-411's flight recorder information. The track that evolved from flying the flight simulator very closely approximated the computed path presented by the Fairchild-Hiller and the Board analyses.

Later the same day, a Mohawk ex-FH-227 captain, who was at the training center taking recurrent jet ground school training and who professed to know very little about the accident, was requested to fly the simulator through several Glens Falls instrument approaches. He conducted more than five approaches from just south of the Miller Intersection, during which time several emergencies were simulated to determine the amount of distraction they would cause.

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on the first approach, the captain received instrument and VOR "out" flags over Miller Intersection. He immediately requested the first officer to contact Albany Approach Control for a steer to Albany. On one in which his gyro failure flag showed, he noticed it immediately, switched his attention to the "rst officer's instrument, and continued the approach. The other three or four runs constituted combinations of instrument and gyro failure, the last of which was an unsuccessful attempt to motor a gyro. 4 The captain held his

Motoring gyro-one that continues constant precession in one direction.

altitude and a heading in each instance until he had called Center and received a reply, or the run was halted to begin a new one.

4. Several Mohawk pilots listened to the ATC tapes. None of them could positively say it was the first officer talking, but they were all positive that it was not the captain. It is normal practice for the pilot not flying to make most of the radio calls.

1.16 Other Pertinent Information

Witness Information

Five witnesses saw Flight 411. Four of the five also heard the aircraft. Five other witnesses heard but did not see the aircraft. One witness neither saw nor heard the aircraft but saw the explosion of impact.

The witnesses described the weather as rainy and windy, and their estimates of the rain intensity ranged from very light to heavy.

The three witnesses who saw the aircraft in the vicinity of Glens Falls observed the lights to be blinking or flashing normally. One of the three witnesses (witness No. 10, Appendix D) said he observed sparks coming from near the bottom of the aircraft and the aircraft's wings were dipping from side to side. Witness No. 11 said that as he observed the aircraft, he heard a loud noise.

Witness No. 2, who was located on the western side of Lake George, was inside her residence about 2015 when she heard a noise that sounded "like a heavy truck." She said that she opened the door because the noise continued for a short time, then was blanked out by heavy rains. Then she saw a big aircraft appear, coming from the north, traveling over the lake and going south. She stated in part as follows: "It was low much lower than I expected an airplane to be with that big red blinker light. I watched it to a point about East of this position and left to go into the pantry. Well it couldn't have been 30 seconds when I heard a loud thump which caused me to rush out as I knew that something happened. I then looked out and saw a bright ball of light like that of a sunrise where I knew the mountains to be. It was so bright as to silhouette the trees and each individual branch.....

Witness No. 1, who was driving north on Pilot Knob Road, which is along the eastern shore of Lake George, stated as follows:

"On Thursday eve; Nov. 19th & approximately 8:15 p.m. I was driving north on Pilot Knob Road & when I was about even with the cemetary (E. side of road) my attention was drawn to an

sircraft off to my right on a parallel heading & headed north going way from INC. The bright red light (steady) particularly got my attention and as I watched it the aircraft was low and I could see the outline of the Pilot Knob Mountain. The A/C seemed to change heading from time to time and my impression was that it was being buffeted by the gusty wind.

"I continued north on Pilot Knob Road for approx a mile 'toKatskill Ray. 'I was not able to see the aircraft at all times due to the trees novever as I turned in at Katskill Bay (Mayflower Lane) I observed a Bright Flash to the East. The Flash lit up the sky & it appeared to be in back of Spruce Mountain.

"When I first sat the aircraft I thought possibly it was a light aircraft because it was going so slow.

"I recall the weather as being very windy and gusty. At the time it was raining very hard (big drops) and it was a cold rain...."

Witness No.' 5 neither saw nor heard the aircraft. He said that be was ratching television when he became sware of a large red fireball in the picture window located behind the vision set. He described the weather as light rain and moderate Will He stated in part as follows: "...there seemed to ke thin clouds between the fireball and INC

2. ANALYSIS AND CONCLUSIONS

21 Analysis

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Investigation disclosed that the causal factors involved in 'this accident were directly related to MO-411 operating north of the Glens Falls VORTAC at 3,000 feet while operating on an LFR flight plan. The following are a few possible reasons that MO-411 was north of the airport: (1) It would be the best approach from the standpoint of time, after descent was not initiated at Miller Intersection. (2) Since it would be faster, it would be better for reasonger comfort and less tarbulent than at lawer levels. (3j There might have been a misinterpretation of the clearance given by Albany center. (4) An emergency might have occurred as the flight approached or was over the Glens Falls VORTAC.

The only VOR instrument approach procedure authorized into Warren County Airport from the north required utilization of DME equipment, which was not authorized for use as a primary procedure for

MO-411 due to Company Policy, Therefore, when Albany Departure control cleared MO-411, "...for a VCR approach at the Warren County Airport," the VOR-1 approach was the only own that should have entered the captain's mind. However, possible misunderstanding might have been created two ways:

- (1) The Jeppesen Manual is used by Mohawk Airlines and contains two approaches to the Warren County Airport at Glens Falls, New York: the VOR-1 and the VOR DNE-19 (see Appendix C) The latter approach uses the Ol9 radial as the approach radial and uses three different NM distance fixes to control altitudes (i.e., 10 NM DNE 4,200 feet m.s.l., 7 NM DME 2,700 feet m.s.l., 4 NM DME 1,400 feet m.s.l.). A procedure turn is not depicted on the approach chart. The explanation for this nonappearance is carried in the Legend Section of the Jeppesen, Approach Charts MEDEL. This discussion explains that when a 'procedure turn is not authorized, it will not 'be, depicted. If a pilot were unaware of this explanation, or if he were under stress, he might overlook the significance of the nonappearance of a procedure turn on the chart, and/or he might refer to the plan profile views of the approach chart and conclude that a procedure turn could be executed, provided the minimum altitude for his distance from the VORTAC was maintained.
- (2) The second area of possible misunderstanding involved the approach clearance received by the flight. The clearance as delivered by the controller was as follows: "And Mohawk Four Eleven, you're cleared to the for a VOR approach at the Warren County Airport."

As stated earlier, there are two VOR approaches to the U—County Apport. The VOR YN to Runway 19 was not authorized for use hy this flight as stated previously. However, this clearance, coupled with the facts that Runway 19 was in use, and the letdown plate did not specifically warn the pilot that the procedure turn was not authorized, could have led to a misunderstanding on the part of the crev.

The YOR-1 approach may be initiated either at the Miller Intersection or from over the Glens Falls YORTAC. (See pages 2 and 3.) Seven flight recorder tapes (all that were available), covering 14 flights into Glens FaUs by Mohawk pilots during the months of October and November, were processed in the found that 11 of the flights initiated descent at Miller Intersection and three began the approach from overhead the VORTAC.

The FDR readout of MO-411 indicates a reduction of airspeed commenced shortly after receipt of the approach clearance, which would lead one to believe the captain was 'slowinghis aircraft to begin a descent at Miller Intersection. However, during the turn from 046° to 010°, the indicated airspeed stabilized between 165 and 170 knots, and the aircraft continued on at 3,000 feet. Turbulence considerations might account for such action.

The acceleration trace on the FDR was importative but the airspeed and heading traces indicated moderate turbulence 5/ throughout most of the flight, with the more marked turbulence in the lower flight levels.

Since the crew of Flight 411 was talking to Albany Departure Control immediately prior to reaching Miller Intersection and to Glens Falls FSS for a short time after Miller, with no indications of an emergency, and since there were indications of turbulence, the Board concludes that the reduction in airspeed was for the purpose of attaining turbulence penetration airspeed (174 knots for light to moderate, 140 knots for severe) and not in preparation for a VOR-1 approach,

MO-111 then continued to the Glens Falls VORTAC at an altitude of about 3,000 feet and heading of about 000°. Over the airport, the aircraft made a slight right followed by a slight left turn, then' returned to and continued on an average heading of 006° for approximately 1 minute and '15 seconds (7NM DME), at which time a 'reversal in course was initiated.

Three witnesses. In the Glens Falls area saw the aircraft as it proceeded **Tolliono.** Therefore, it is believed that the crew of **MO-411** at least could have seen the lights of the town of Glens Falls and probably the lights of the Warren County Airport (the runway lights were on maximum originass and the runway end lights (south end) and the rotating beacon light were operating). The officially reported weather, however, indicates that the prevailing ceiling and visibility at Glens Falls was 2,100 feet overcast and 7 miles visibility in light rain.

Based upon the above considerations, it is reasonable to assume that no emergency occurred as the flight was in the vicinity of the Glens Falls VORTAC. Had there been, the pilot could have initiated an immediate descent to the airport. Similarly, if the crew were distracted at this point, the distraction would not have been of such a nature that it constituted an emergency or critical situation, since there were no indications of problems associated with ground based navigational aids or recovered airborne instrumentation, and at least intermittent ground reference was possible.

During the investigation, the question of failure trends with respect to navigational instruments was discussed at length. However, the problems revealed by the maintenance records check were typical of problems encountered by all of the airlines using the "remove and replace" system of maintenance. The inverter was finally replaced, and

The explanation of Moderate Turbulence contained in the Weather Bureau Observers Manual 68-12, dated May 24, 1968, is as follows:

"Turbulence that is similar to Light Turbulence but of greater intensity. Changes in altitude and/or attitude occur but the aircraft remains in positive control at all times. It usually causes variations in indicated airspeed. Report as Moderate Turbulence."

this proved to have been the problem. The fact that the DNE problem was solved by replacement of the instrument is demonstrated by our finding that the one installed in the aircraft was operational at impact. Furthermore, the two previous flights that day had been without mechanical difficulties except for nonrotation of the bottom rotating beacon (see Section 16). Since all but one of the several navigation instruments that were removed, checked out when beach chocked, the Board concluded that these problems did not constitute a trend.

A reversal turn was commenced to the left at the 7 NM DAE are with a continuous turn from 048° to 300°, then an immediate right turn to 150°, at which time the airspeed was reduced to about 135 knots, (flap extension speed is 140 knots). As the aircraft crossed the 10 NM DAE are, a descent was initiated.' The altitude had remained between 3,000 and 3,200 feet from level off.

The DME receiver was located in the radio rack on the sircreft, and the information received vas being relayed to the cockpit instruments. When it was found in the wreckage, it was turned to Channel 39, the proper channel for Glens Falls. It was indicating 9 MM to the station and a heading of 190°. This is not positive proof that the captain vas receiving the information, but our investigation leads us to believe that he

Since there was no CVR information available and considering the foregoing, the Board is unable to determine the exact reason why the captain used this procedure. However, it is concluded that, regardless of his reason, he was utilizing the procedure by choice and his navigation instruments were operating. Further substantiation of this lies in the fact that the flight had not made an "in Range" call. If there had been any intent to descend immediately after passing the VORTAC, the "In Range" call should have been made prior to or immediately after the flight arrived over the VORTAC.

A strong cold front with minor waves was oriented north-south approximately 35 NM west of the accident site at the time the accident occurred. Each and terminal forecasts that were available prior to departure from LaCuardia Airport, New York, did not indicate the front would move as fast as it did; however, the forecasts were accurate in most other respects. Winds aloft forecasts for Albany and Plattsburg for 3,000 feat were reasonably accurate with respect to direction, but the existing velocity was 25 to 30 knots stronger than forecast. With this flow, severe turbulence and strong downdrafts would have been encountered on the lee side of Pilot Knob Mountain.

Surface weather observations at Surlington, Vermont, at 2000 and 2100 indicate that the cloud bases sloped upwards to the north of Glens Falls. Three witnesses near Glens Falls and two in the vicinity

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of lake George saw the aircraft, Witnesses around lake George also said they could see the tope of the mountains to the east (two of them were about 4 miles from the mountaintops and one was about 1 mile distant). These mountaintops ranged from 2,180 feet to 2,334 feet in the innediate vicinity of the accident site. One witness on the west side of lake George said there was light rain falling at the time and that the winds were moderate. Another witness said it ma raining hard, but she also said, "It (the explosion at impact) was so bright as to silhouette the trees and each individual branch." It could not have been raining very hard at the time for her to have been able to see that well, as she was located over 4 miles, from the site.

Witnesses along the eastern state of lake George said it wan raining hard with strong gusty. However, one of these witnesses, who was driving along Pilot Knob Road, was able to see the aircraft. His misconception as to the heading of the flight just prior to impact 1s quite possible attributable to the intermittent nature of his observation. Attention to driving, combined with intervening trees and existing weather conditions, precluded constant observation. The steady red light which he observed on the aircraft presumably was the lower rotating red beacon which was lighted but probably not rotating. (It had been reported as not rotating earlier that day by Maintenance Operations.)

We believe strong winds may have been the reason that some of the witnesses considered the rainfall heavy. Actually, only 0.27 of an inch of rain fell at warren County Aliport on November 19.

Based upon a complete review of the vitness statements, we conclude that MD-411 was beneath the clouds in the vicinity of Glens Falls and during much of the flight north of Glens Falls. In perticular, it is noted that the flight was beneath the clouds from the West aide of Lake George to within 1 mile of the accident site and was visible from the ground during at least part of the last minute of flight prior to impact.

Whether the descent vas initiated to clear clouds in the area or because the 10 NM DME was reached could, not a determined. Suffice it to say that descent initiation at 1 minute and 8 seconds prior to impact was intentional by the crew. The momentary level-off at 28 seconds prior to impact could have been 'areault of the turn reversal from 168° to 186° or it could have been a belated, intentional level-off that should have occurred at 2,700 feet. Regardless of the cause for the 6-second level-off, the indicated airspeed began to drop immediately thereafter and to fluctuate. In the period 12 seconds before impact, the airspeed decreased from 110 knots to 84 knots.

The aircraft crashed in a 12° right-wing down attitude while descending at a 12° angle.

The Board concludes from the foregoing that the crew of NO-411 initiated a letdown from 3,000 feet about 1 minute and 8 seconds prior to impact. Turns were made thereafter, either, in an endeavor to remain clear of clouds, or because of the aircraft's approaching the inbound redial of 019. During this descent and while the crew was probably concentrating on the turns, the aircraft encountered severe turbulence and wind shear associated with strong winds over nountainous terrain "lee of mountain effect" 6/ (the winds were 60 to 65 knots at 3,000 feet) which resulted in an uncontrolled descent into Filot Knob Countain.

Lee 'of the mountain effect - Following is the definition given by the book, "Aviation Weather for Pilots and Flight Operations Personnel" which is a joint publication of the FA4 and the Weather Bureau: "A much disturbed condition Occurs when wind blows over large mountain ridges. The wind blowing up the vindward slope, in a stable atmosphere, is usually relatively smooth. However, it spills rapidly down the leeward' side, setting up strong downdrafts and causing turbulence in a situation which can be compared to water flowing down a rough stream les. These downdrafts may be dangerous and can place an aircraft in a position from which it might not be able to recover. Pilots should allow for this condition when approaching mountain ridges against the Wind. If the wind is strong and 'the ridges pronounced, several thousand feet above the highest obstruction is recommended in crossing the area. It is important to climb to the crossing altitude well before reaching the muntains to avoid baying to climb (or trying to climb) in a persistent downdraft.

"Filots should be extremely cautious when attempting to cross high mountain ranges under strong wind conditions because winds over ridges and through passes and narrow valleys usually are much stronger and more turbulent than the general wind flow. In addition, the wind usually blows in the direction of the passes and valleys, rather than in the direction of the general wind flow.

when winds in excess of about 50 knots blow approximately perpendicular to a high mountain range, the resulting turbulence may be extreme. Associated areas of steady unitaits and downdraft- can extend many times nigher than the elevation of the mountain peaks, with large waves forming on the leavard side of the mountains and extending upward to beyond the trousphere. In the horizontal dimension, these air waves, referred to as standing waves' or 'mountain waves,' sometimes extend as far as 100 miles or more downstream from the mountain range."

2.2 Conclusions

(a) Findings

- 1. The crew was properly certificated and qualified for the flight and had time for adequate rest prior to reporting for the flight.
- 2 The aircraft vas certificated as being airworthy, and the log book was carrying no discrepancies prior to departure as MO-411.
- 3. Morbill was cleared for a VOR, approach to Warren County' Airport by Albany Departure Control when it was about halfway between Albany and Miller Intersection.
- 4. MO-411 reported over Miller Intersection about 8 minutes after departing Albany. The aircraft was at 3,000 feet, indicating about 170 knots airspeed.
- 5. MO-411 passed the **VORTAC** at 3,000 feet and about 160 knots indicated airspeed.
- 6. Company policy did not authorize the use of DME equipment as the primary navigational instrument.
- 7. Three witnesses saw the aircraft in the Glens Falls area.
- 8. The aircraft made a right turn to 018°, with an immediate return to 002°, in the vicinity of the Glens Falls VORTAC.
- 9. A minute and 15 seconds after completion of the turn over the YORTAC (about 7 NM DME), a reversal of course was commenced.
- The aircraft vas both seep and heard during the course reversal.
- 11. There was neavy rain with strong, gusty winds and low hanging clouds along the eastern shore of Lake George in the vicinity of the accident site.
- 12. The aircraft was seen beneath the clouds during the last minute before impact.
- 13. The aircraft encountered severe turbulence and wind shear when it was approaching the lee side of Pilot Knob Mountain.
- 14. The average wind at 3,000 feet between Miller Intersection and the accident site was calculated to have been 61 knots from 181° magnetic.

- 15. MD-411 impacted the northwest slop of Pilot Knob Mountain about 2020 on November 19, 1969, where it burned fiercely for at least 40 minutes.
- 16. The captain's VOR was tuned to Glens Falls VOR and the CDI had a course selection between 197° and 208°. This indicator wan showing a heading of 190°.
- 17. The DME was indicating 9 miles to the station on the unit in the radio rack and was tuned to Channel 39, which is the proper setting for Glens Falls.
- 18. The DME indication on the captain's CDI was 919 miles but the indicators were free to 1000.
- 19. The accident site was located 9 NM from the Glens Falls VORTAC on the O16° radial.
- 20. The blade angle of the right engine propeller was found to be 23°50'. (Idle angle is 16°, cruise is 28°.)
- 21. The left engine r.p.m. indicator read 12,280 r.p.m. at impact and the pointers were not free to move.

(b) Probable Cause

The Board determines that the probable cause of this accident was that the captain, while conducting an approach, exceeded his clearance limits and, thereafter, flow the aircraft into a severe "lee of the mountain downdraft" at an altitude insufficient for recovery. No evidence was found to explain why this particular approach was attempted.

BY THE NATIONAL TRANSPORTATION SAFETY BOARD:

| /s/ | JOHN H. REED Chairman |
|-----|---------------------------|
| /s/ | OSCAR M. LAUREL Member |
| /s/ | FRANCIS H. McADAMS Member |
| /s/ | LOUIS M. THAYER Member |
| /s/ | ISABEL A. BURGESS Member |

Investigation and Hearing

1. Investigation

The Board received notification of the accident about 2120 e.s.t. on November 19, 1969, from the Federal Aviation Administration. An investigating team was immediately dispatched to the scene of the accident. Working Groups were established for Operations, Air Traffic Control, Witnesses, Human Factors, Maintenance Records, Flight Data Recorder, Systems, Structures, Powerplants, and Weather. Interested parties included: The Federal Aviation Administration, Mohawk Airlines, Inc., Fairchild-Hiller, Air Line Pilots Association, Rolls Royce, Dowty-Rotol, and the International Association of Machinists and Aerospace Workers.

The on-scene investigation was completed on November 26, 1969.

2. Hearing

There was no public hearing.

3. Preliminary Report

An interim report of investigation summarizing the facts disclosed by the investigation was published as a special report on December 26, 1969.

Crew Information

a. Captain Raymond P. Hourihan - aged 31

Airline Transport Pilot Certificate - \$1393340
Medical Certificate - Class I dated 10-25-69. No waivers.
Type ratings - F27/227, Commercial Privileges - SMEL

| | | The state of the s |
|------------------------|---------------------|--|
| Total time | | 5,167 hours |
| Time in type | ' | 343 hours |
| Time last 90 days | | 196 hours |
| Time last 30 days | | 53 hours |
| Last Proficiency Check | | 5/13/69 |
| Last Line Check | | 12/2/68 |
| Initial Captain Check | • | 12/2/68 |
| Time preceding 24 hrs: | Duty time | 2:40 hours |
| | Flight time | 1:30 hours |
| • | Best time systleble | 10.50 house |

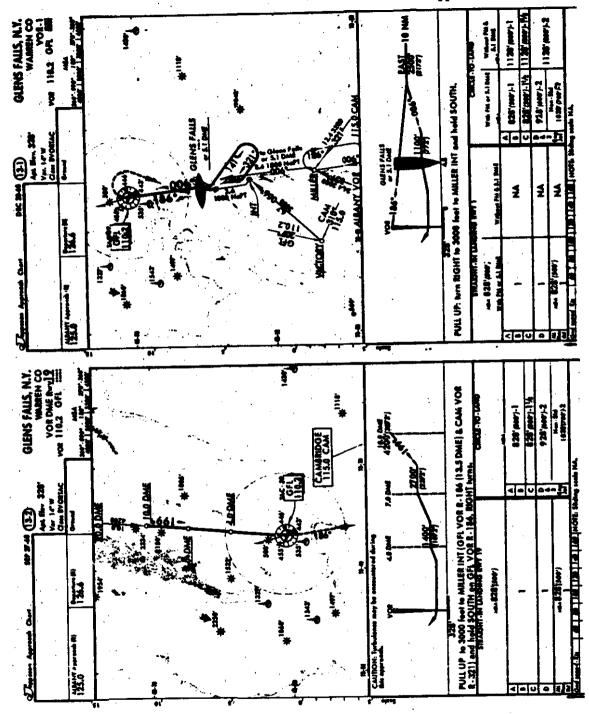
b. First Officer John P. Morrow - aged 31

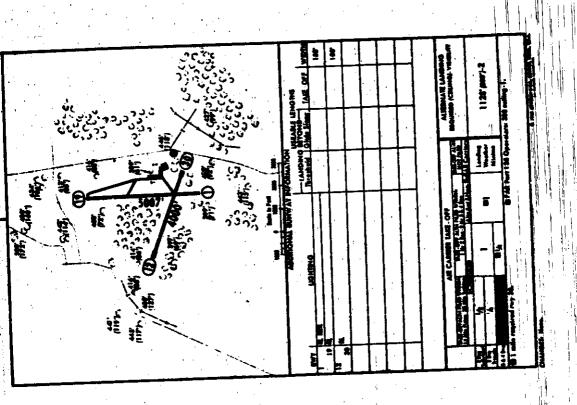
Commercial Pilot Certificate - #1597950
Medical Certificate - Class I, dated 4-9-69. No waivers.
Type Ratings - Lockheed 300, SMEL and Instrument Rating

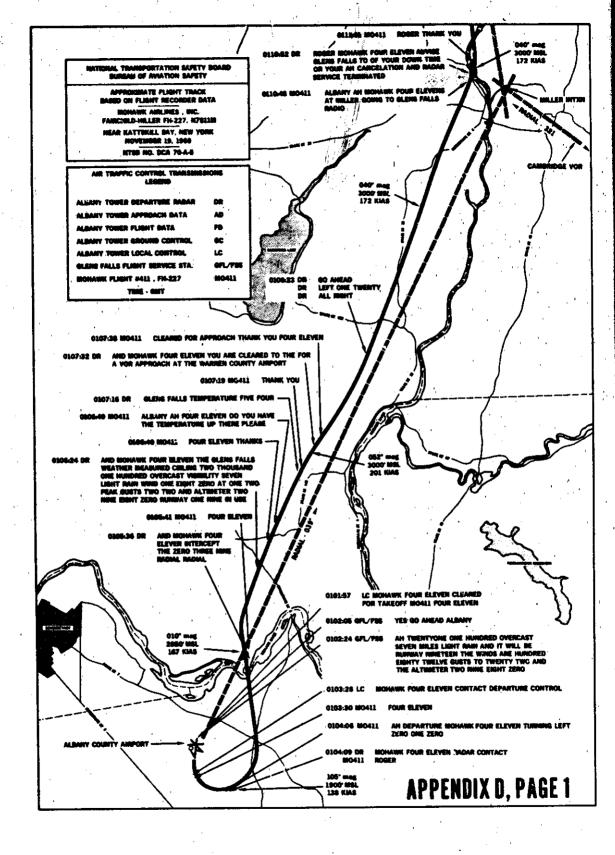
| Totel time | * | 3,910 hours |
|--------------------------|---------------------|-------------|
| Time in type | | 67 hours |
| Time last 90 days | | 67 hours |
| Last Proficiency Check | | 10/6/69 |
| Initial Line Check | | 10/11/69 |
| Time preceding 24 hours: | Duty time | 2:40 hours |
| • | Flight time | 1:30 hours |
| | Rest time available | 19:50 hours |

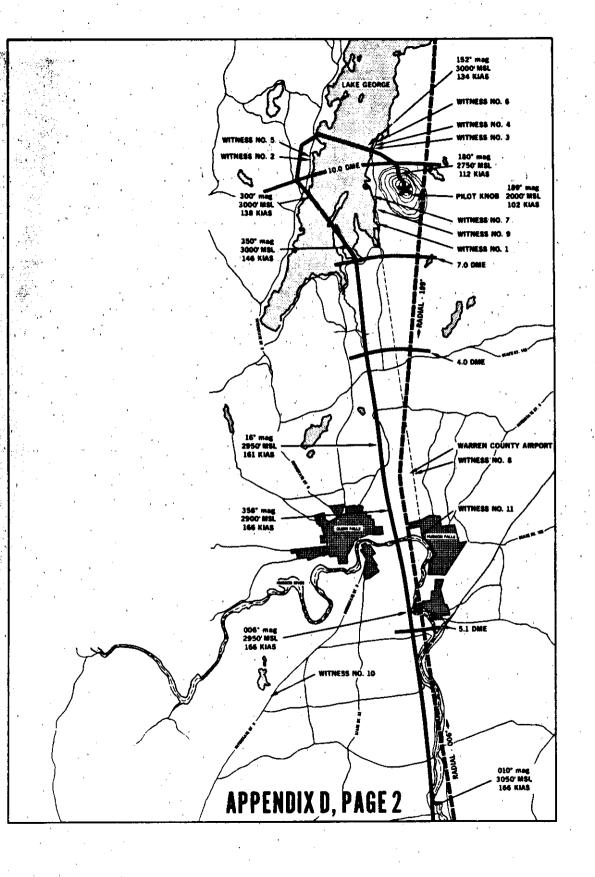
c. Anne M. Miklochick - Stewardess

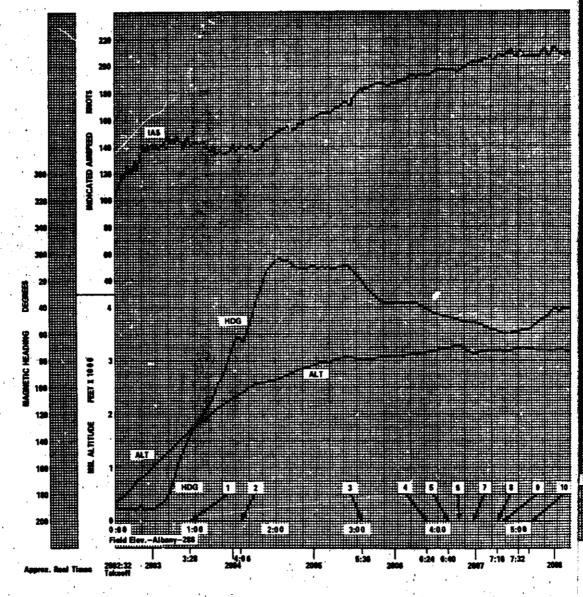
Training - current
Time preceding 24 hours: Available for rest 18 hours
Duty time 6 hours
Flight time this flight-1:30 hours







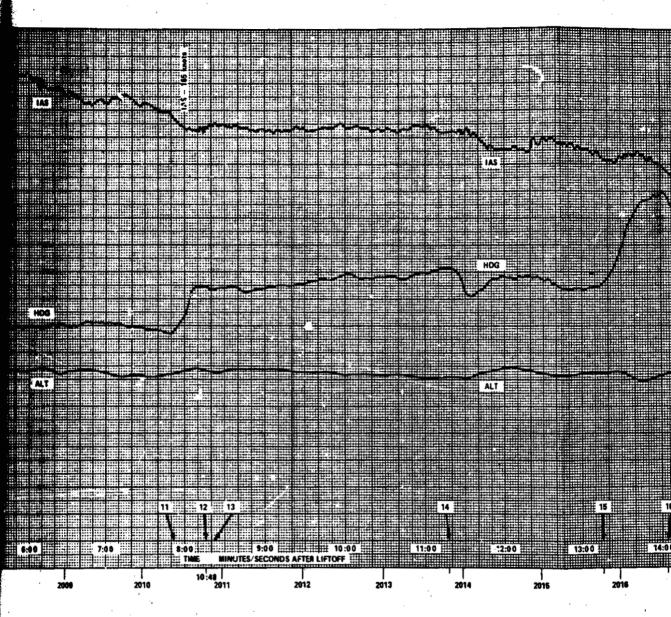




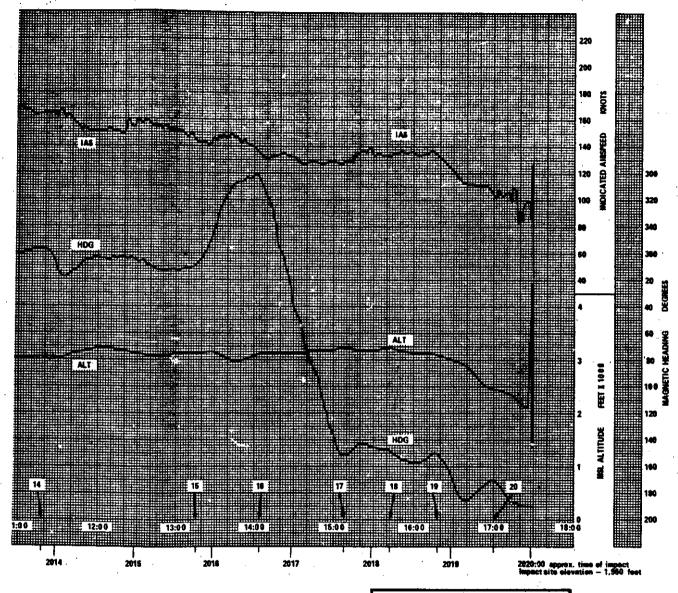
LEGEND

- 1. DK Mehawk 411 Contact Departure Contro
- 2. DR Ale Departure Mehanik 411 turning left zero one zero
- 3. DR And Mohank Four Eleven Ak Intercept the zero three nine radia
- DR And Mohauk 411, the Glene Falls weather measured ceiling 2100 ft. overcest, visibility 7, light rain, wind 180 at 12, peak gusts 22, and altimeter 29.80. Ry 18 in use
- 5. 411-- Four Eleven, Thanks
- I. 411— Albeny Ah Four Eleven, do you have the temperature up there
- 7. Dil Ah, stand by one
- 8. DR And Glons Falls temperature five four
- 9. 411- Thank you

- 10, Lill And Mohautt Four Elevan, you're crosses approach at the Warren Vounty Airp ort.
- 11. Yurn to 010° initiated Miller Intersection
- 12. 411— Albany Ab Mahank Four Elevan's at Mil Radio
- 13. DR Roger 1, MO—411, advise Glass Fells for your cancellation and rader service
- 14. Right, left turn commences in vicinity of C
- 15. Revers Al turn initiated to the left



APPENDI



APPENDIX E

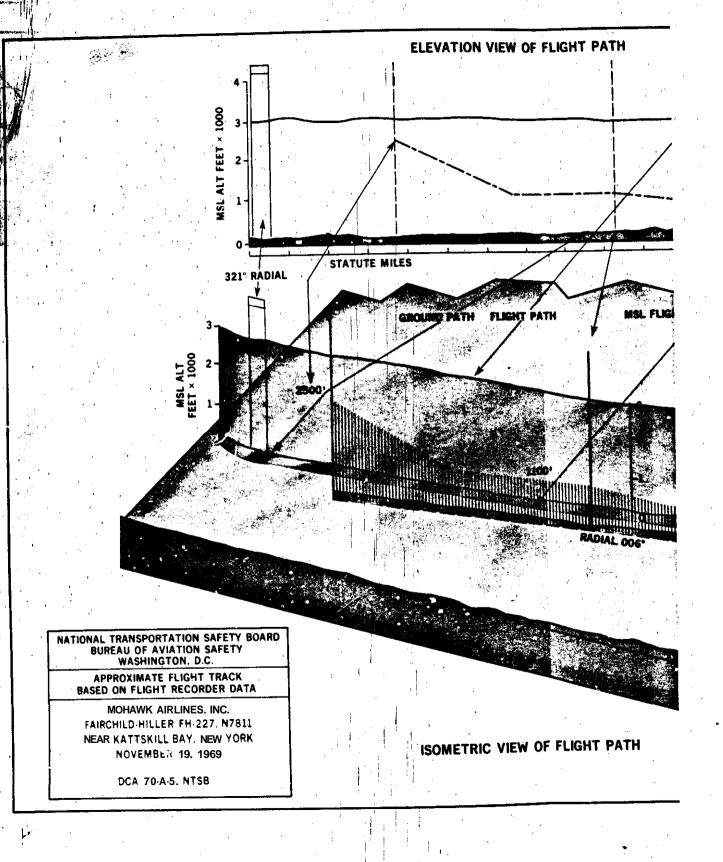
DCA 70--A-5

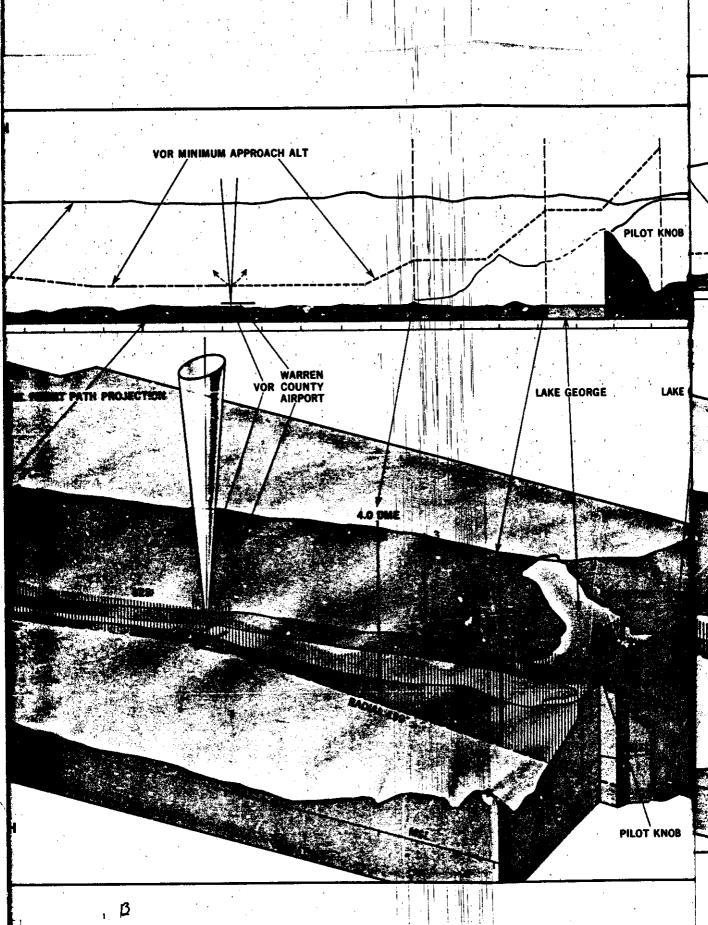
NOVEMBER 25, 1900 BEVISED: MARCH 27, 1970 NATIONAL TRANSPORTATION SAFETY BOARD BUREAU OF AVIATION SAFETT WASHINGTON, D.C.

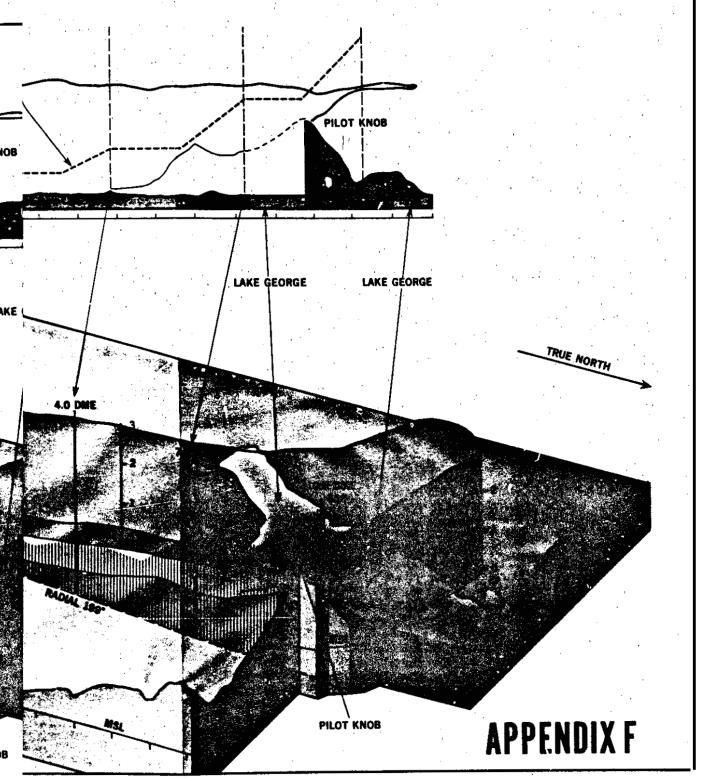
MOHAWK AWLINES, FAIRCHILD—HILLER FH—223 N7811M, NEÁR GLENS FALLS, NEW YORK

CATASTROPHIC ACCIDENT

FLIGHT RECORDER DATA GRAPH UCDD MODEL F-542, S/N 1616







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The following information was extracted from the aircraft log book commencing with the page dated September 19, 1569, and ending with the one dated November 18, 1969.

- 9/10/69 Radar OK -F/O CDI-directional nap occasionally disappears from view (as if indicating station pessage). Course indicator indicates correct4 (this only happens within 10-15 miles of station). Replaced #2 VOR rec. ground checks OK. 'Request in fit functional check.
- 9/11/69 Radar OK #2 VOR course info 'on FO flight director. Everything else normal with exception of VOR flap.,,
 Note: This condition intermittent OK now.
 Reseated #2 VOR sys. Functional.ck normal on test. Request further inflight check and observation.
- 9/12/69 The #2 VOR indicator intermittent on some frequencies. Momentary flap and buzz on audio Refer to prev. write-ups. Replaced #2 VOR control and #2 VOR revr inspected antenna connectors functionally checked on several frequencies with SG 13 tester.
- 9/21/69 Radar DME OK
 Capts. CDI sometimes sticks when coming off
 side. Replaced CDI indicator, checks INTEL
 Inflight check required.
- 9/25/69 ADF loop switch will not rotate ADF needle either night or left. Loop being muted to A/C operate per MEL Chapt. 34, Mem 17, ?** 30.
- 9/26/69 Radar appears normal. Replaced ADF rec. per Page 71828 and functionally checked normal.
- 9/27/69 Radar & DME **CK** #2 comm head still stuck on .00 digit. Replaced #2 comm. rec. head. Checks normal.
- 10/2/69 ADF will not home until almost on top of station. Found ADF loose in rack, tightened.
- Radar and DME OK. #1 NAV comm control head is in non-standard location as to rest of fleet. Please move same. Standardized nav.-comm control head Left cruise pitch lock hung on level off, light remained on after 10 min. Light went out and lock operated normal after that. Replaced cruise pitch lock indicator switch, performed pitch lock removals static check from expanded check list on left eng. Functionally checked good.

- 10/7/69 Spare inverter 120 volts, OK at LCA. Request further inflight check. ALF homing VEX. Replaced ADF rec.-check.
- Radar and DME CK. Two bdsts, etc. There is a degree discrepancy between capts and first officer's course directors at times. Cleaned and reseated both compass couplers.' Request further observation. At cruise altitude of 12,000 at 180 kts indicated, left cruise pitch unlock light 'started blinking intermittently, put cruise pitch lock to swerg. Found wrong type gasket and installed new one, Left cruise pitch switch. Checked and on run and shut down.
- 10/12/69 #2 CB60 compass reads 20 degrees high. #2 CB60 compass has returned to normal. Radar DME OK.
- 10/17/69 Voice recorder erase function inop. Adjusted micro switch striker arm. #2 comm receiver distorted. Replaced-off 5943 on 1759.
- 10/18/69 Radar DME tpdx normal. #1 V/G erratic in pitch and roll replaced #1 VG and functionally checked. OFF-136 ON 122.
- 10/19/69 #1 compass system processing replaced #1
 DG and system functionally CHECK OFF 583
 ON 607559.
- 10/24/69 Radar DME 2 need sets OK
 Check capts VOR for error 8 degree diff
 between capts and f.o. VOR
 checks normal.
- 11/3/69 Radar and DME normal. copilots VOR erratic.

 Needle bounces, VOR/LCC flag bounces in and out. Radar spoking (occasionally). Replaced receiver unit. Please comment next flight.

 VOR rec. OFF 325, ON 3524.

 Note VOR rec. 325 found CK. Bench check
- Capts head set intermittent. Replaced head set. Capts marker beacon lites not working properly. Marker beacon receiver replaced and functional checked satisfactory with test set. OFF 675, CN 771. #1 comm T/R due O.M. Replaced OFF 743, ON 1756. DME due O/H. Replaced DME T/R-functional check satisfactory. OFF 160, On 151.

- 11/9/69 Reder-DME OK. #2 VO very slow to erect replaced #2 VG. System funct. chd. Satisfactory. OFF 537, ON 520.
- 11/14/69

 1. Copilot's VOR erratic, flag occasionally shows and course deviation needle vavers see previous. Changed VOR rec. JFK. OFF 3534, ON 5503. Note bench check 3534 OK

 2. Capts compass card starts motoring during turns, eventually lost sensing altogether.

 3. Capts pitch command bars sluggish to extend, unable to fully retract-afterswitching inverter all instruments worked normal. 2/3 found main inverter low voltage output 40V. Replaced inverter. Functional checked satisfactory. OFF 15088, ON 16101.
- 11/15/69 FDR tap has less than 20 hours remaining action reversed FDR tape. Functional checked
 satisfactory. #2 VHF hav. receiver failed replaced #2 nav. rec. Functionally checked OK.
 S/N 5503 OFF, S/N 5641 ON.
 Two pitot drains missing in nosewheel well.
 Action taken put in OFR (Open Pilot Report)
 (Aircraft Status and Deferred Jam Sheet Mohawk
 Form #1605FOO4)
 Note Mechanic submitted clarification statement "pitot static drains missing should
 read: push to drain on two static drains in
 nosewell missing."
- Radar transponder and DME normal

 Lem 1 · When operating on the spare inverter,
 both VOR flags appear intermittently. Appears
 to be frequency problem, voltage is normal. No
 flags appear when operating main inverter.
 Action see Lem 3 below.
 Item 3 · In reference to item 1, upon further
 investigation the problem seems to stem from
 the No 1 nay, head or from the VOR receiver.
- Receive an intermittent flag on both VORs with a loud tone at the same time. With #2 nav off, there seems to be no problem. ILS freq. Replaced spare inverter and tax; checked for correct phasing. Checked TOTEL VOR S/N 5641 OFF, 10422 ON. Inverter OFF S/N 474, on S/N 015.
- 11/18/69 DME inop, Action replaced DME checked OK on test. OFF, S/N 151, ON 113. Radar and DME look OK.